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Patent &amp; TMO/TM Mail Rpt Dt. #70

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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-470-WSB  
First Inventor RICHARD H. HICKS  
Title MICRO-EMULSION FUEL ADDITIVE  
Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 45]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 09 / 588,029

Prior application information:

Examiner M. Medley

Group Art Unit: 1714

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

Name WILLIAM S. BERNHEIM  
Address 255 N. Lincoln Street  
City Dixon State CA Zip Code 95620  
Country USA Telephone 707-678-4447 Fax 678-0744

Name (Print/Type) WILLIAM S. BERNHEIM Registration No. (Attorney/Agent) 27,180  
Signature William S Bernheim Date Dec 24, 2001

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J1040 U.S. PTO  
10/029438

12/24/01

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 412.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Richard H. Hicks  
Examiner Name  
Group Art Unit  
Attorney Docket No. 01-470-WSB

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740                    | 201 370                    | Utility filing fee     | 370      |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 510                    | 207 255                    | Plant filing fee       |          |
| 108 740                    | 208 370                    | Reissue filing fee     |          |
| 114 160                    | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ 370

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 18 -20\*\* = 0 X =  
Independent Claims 4 -3\*\* = 1 X 42 = 42  
Multiple Dependent =

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 84                     | 202 42                     | Independent claims in excess of 3                          |
| 104 280                    | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 84                     | 209 42                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 412

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 105 130       | 205 65        | Surcharge - late filing fee or oath  |          |
| 127 50        | 227 25        | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130       | 139 130       | Non-English specification  |          |
| 147 2,520     | 147 2,520     | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*      | 112 920*      | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*    | 113 1,840*    | Requesting publication of SIR after Examiner action                        |          |
| 115 110       | 215 55        | Extension for reply within first month                                     |          |
| 116 400       | 216 200       | Extension for reply within second month                                    |          |
| 117 920       | 217 460       | Extension for reply within third month                                     |          |
| 118 1,440     | 218 720       | Extension for reply within fourth month                                    |          |
| 128 1,960     | 228 980       | Extension for reply within fifth month                                     |          |
| 119 320       | 219 160       | Notice of Appeal   |          |
| 120 320       | 220 160       | Filing a brief in support of an appeal                                     |          |
| 121 280       | 221 140       | Request for oral hearing   |          |
| 138 1,510     | 138 1,510     | Petition to institute a public use proceeding                              |          |
| 140 110       | 240 55        | Petition to revive - unavoidable   |          |
| 141 1,280     | 241 640       | Petition to revive - unintentional   |          |
| 142 1,280     | 242 640       | Utility issue fee (or reissue)   |          |
| 143 460       | 243 230       | Design issue fee   |          |
| 144 620       | 244 310       | Plant issue fee  |          |
| 122 130       | 122 130       | Petitions to the Commissioner  |          |
| 123 50        | 123 50        | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180       | 126 180       | Submission of Information Disclosure Stmt                                  |          |
| 581 40        | 581 40        | Recording each patent assignment per property (times number of properties) |          |
| 146 740       | 246 370       | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740       | 249 370       | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740       | 279 370       | Request for Continued Examination (RCE)                                    |          |
| 169 900       | 169 900       | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

## SUBMITTED BY

Name (Print/Type) William S. Bernheim

Signature

William S Bernheim

Registration No. (Attorney/Agent)

27,180

Complete (if applicable)

Telephone 707-678-4447

Date

Dec 24, 2001

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